

INFORMATION DISCLOSURE STATEMENT	Applicant:	Sohrab KIANIAN
	Application No.	Not yet assigned
	Filed:	February 10, 2004
	For:	Self Aligned Method Of Forming A Semiconductor Memory Array Of Floating Gate Memory Cells With Buried Bit- Line And Vertical Word Line Transistor
	Group Art Unit:	Not yet assigned
	Examiner:	Not yet assigned
	Attorney Docket No.:	2102397-992011

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450		
Dear Sir:		
In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.		
This Information Disclosure Statement:		
(a)	<input checked="" type="checkbox"/>	accompanies a new patent application submitted herewith.
(b)	<input type="checkbox"/>	is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
(c)	<input type="checkbox"/>	after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
(d)	<input type="checkbox"/>	is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
(e)	<input type="checkbox"/>	is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:		
(f)	<input type="checkbox"/>	Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or

(g)	<input type="checkbox"/>	No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
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It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are attached.

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: February 10, 2004

GRAY CARY WARE & FREIDENRICH LLP

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (EV 302280099 US) in an envelope addressed to: Commissioner of Patents & Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop – Patent Application.

February 10, 2004

Date


Signature

Form PTO-1449	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Atty. Docket No.: 2102397-992011	Serial No.: Not yet assigned
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Kianian et al.	
		Filing Date: February 10, 2004	Group Art Unit: Not yet assigned

U.S. PATENT DOCUMENTS

Examiners Initials	Patent Number	Date	Name	Class	Subclass	Filing Date
	2003/0073275	04/2003	Kianian et al.			
	4,757,360	07/12/88	Farone			
	4,794,565	12/27/88	Wu et al.			
	4,882,707	11/21/89	Mizutani			
	4,905,062	02/1990	Esquivel et al			
	4,931,847	06/05/90	Corda			
	4,947,221	08/07/90	Stewart et al.			
	5,021,848	06/04/91	Chiu			
	5,029,130	07/02/91	Yeh			
	5,041,886	08/20/91	Lee			
	5,049,515	09/1991	Tzeng			
	5,101,250	03/31/92	Arima et al.			
	5,268,319	12/07/93	Harari			
	5,381,028	01/1995	Iwasa			
	5,429,965	06/04/95	Shimoji			
	5,544,103	08/06/96	Lambertson			
	5,495,441	02/1996	Hong			
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	5,780,341	07/14/98	Ogura			
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	5,796,139	08/18/1998	Fukase			
	5,808,328	09/15/98	Nishizawa			
	5,811,853	09/22/98	Wang			
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	6,091,104	07/18/2000	Chen			
	6,103,573	08/15/00	Harari et al.			
	6,140,182	10/31/2000	Chen			
	6,222,227 B1	04/24/2001	Chen			
	6,262,917	07/17/01	Lee			
	6,316,298	11/2001	Lee			
	6,316,315	11/2001	Hofmann et al			
	6,521,944	02/2003	Mirgorodski			
	6,525,371	02/25/03	Johnson et al.			

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

Form PTO-1449	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Atty. Docket No.: 2102397-992012	Serial No.: Not yet assigned
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Kianian et al.	
		Filing Date: February 10, 2004	Group Art Unit: Not yet assigned

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation	
	EP 0 389 721 A2	10/03/90	Europe			YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	